NASA SBIR 2016 Phase I Solicitation

A3 Airspace Operations and Safety

The Airspace Operations and Safety Program (AOSP) seeks innovative and feasible concepts and technologies to enable significant increases in the capacity and efficiency of the Next Generation Air Transportation System (NextGen) while maintaining or improving safety and environmental acceptability. AOSP activities and projects will target system-wide operational benefits of high impact for NextGen both in the arenas of airspace operations and safety management. Projects will be formulated with near-term end dates or deliberative evaluation points consistent with the accomplishment of program-defined Technical Challenges. AOSP aligns with the ARMD Strategic Thrusts of Safe and Efficient Growth in Global Aviation, Enable Real-Time System-Wide Safety Assurance, and Enable Assured Machine Autonomy for Aviation. Distribution of work area across the AOSP project structure is described below. AOSP is comprised of three projects:

- Airspace Technology Demonstrations (ATD).
- Shadow Mode Assessment Using Realistic Technologies for the National Airspace System (SMART-NAS).

The three projects are formulated to make major contributions to operational needs of the future through the development and research of foundational concepts and technologies and their analysis, integration, and maturation in relevant, system-level environments. Each of the projects are, much like the airspace system itself, highly integrated and require attention to critical system integration and transition interfaces with the NAS. The Airspace Technology Demonstrations (ATD) Project will accelerate the maturation of concepts and technologies to higher levels of maturity for transition to stakeholders, including research supporting the existing ATD-1:

- Interval Management - Terminal Area Precision Scheduling and Spacing effort.
- Technologies for Assuring Safe Aircraft Energy and Attitude State (TASEAS).

The SMART-NAS Testbed for Safe Trajectory Based Operations Project will deliver an evaluation capability, critical to the ATM community, allowing full NextGen and beyond-NextGen concepts to be assessed and developed. This simulation and modeling capability will include the ability to assess multiple parallel universes, accepts data feeds, allows for live/virtual/constructive- distributed environment, and enable integrated examinations of concepts, algorithms, technologies, and NAS architectures. The Safe Autonomous System Operations (SASO) Project will develop autonomous system concepts and technologies; conduct demonstrations, and transfer application specific matured technologies to increase affordability, efficiency, mobility of goods and passengers, safety, and scalability and mix of airspace operations. Proposals for this topic will develop innovative feasible concepts and technologies to enable significant increases in the capacity, efficiency, scalability and cost effectiveness of the Next Generation Air Transportation System (NextGen) while maintaining or improving safety and environmental acceptability.
Subtopics

### A3.01 Advanced Air Traffic Management Systems Concepts

**Lead Center:** ARC  
**Participating Center(s):** LaRC

This subtopic addresses user needs and performance capabilities, trajectory-based operations, and the optimal assignment of humans and automation to air transportation system functions, gate-to-gate concepts and technologies to increase capacity and throughput of the National Airspace System (NAS), and achieving high efficiency in using aircraft, airports, en-route and terminal airspace resources, while accommodating an increasing variety of missions and vehicle types, including full integration of Unmanned Aerial Systems (UAS) operations. Examples of concepts or technologies that are sought include:

- Verification and validation methods and capabilities to enable safe, end-to-end NextGen Trajectory-Based Operations (TBO) functionality and seamless UAS operations, as well as other future aviation system concepts and architectures.
- Performance requirements, functional allocation definitions, and other critical data for integrated, end-to-end NextGen TBO functionality, and seamless UAS operations, as well as other future aviation system concepts and architectures.
- Prognostic safety risk management solutions and concepts for emergent risks.
- TBO concepts and enabling technology solutions that leverage revolutionary capabilities and that enable capacity, throughput, and efficiency gains within the various phases of gate-to-gate operations.
- Networked/cloud-based systems to increase system predictability and reduce total cost of National Airspace System operations.

It is envisioned that the outcome of these concepts and technologies will provide greater system-wide safety, predictability, and reliability through full NextGen (2025-2035 time frame) functionality.

### A3.02 Autonomy of the National Airspace Systems (NAS)

**Lead Center:** ARC  
**Participating Center(s):** LaRC

Develop concepts or technologies focused on increasing the efficiency of the air transportation system within the mid-term operational paradigm (2025-2035 time frame), in areas that would culminate in autonomy products to improve mobility, scalability, efficiency, safety, and cost-competitiveness. Proposals in the followings areas in product-oriented research and development are sought, but are not limited to:

- Autonomous and safe Unmanned Aerial Vehicle (UAV) operations for the last and first 50 feet, under diverse weather conditions.
- Autonomous or increasing levels of autonomy for, or towards, any of the following:
  - Networked cockpit management.
  - Traffic flow management.
  - Airport management.
  - Metroplex management.
  - Integrated Arrival/Departure/Surface operations.
  - Low altitude airspace operations.
- Autonomicity (or self-management) -based architectures for the entirety, or parts, of airspace operations.
- Autonomous systems to produce any of the following system capabilities:
  - Prognostics, data mining, and data discovery to identify opportunities for improvement in airspace operations.
- Weather-integrated flight planning, rerouting, and execution.
- Fleet, crew, and airspace management to reduce the total cost of operations.
- Predictions of unsafe conditions for vehicles, airspace, or dispatch operations.
- Performance driven, all-operations, human-autonomy teaming management.
- Verification and validation tools for increasingly autonomous operations.
- Machine learning and/or self-learning algorithms for Shadow Mode Assessment using Realistic Technologies for the National Airspace System (NAS).
- Autonomy/autonomous technologies and concepts for trajectory management and efficient/safe traffic flows.
- Adaptive automation/human-system integration concepts, technologies and solutions that increase operator (pilot and or controller) efficiency and safety, and reduce workload to enable advances in air traffic movement and operations.

A3.03 Future Aviation Systems Safety

Lead Center: ARC
Participating Center(s): LaRC

The Aeronautics Research Mission Directorate (ARMD) has concluded the successful Aviation Safety Program (AvSP). The Airspace Operations and Safety Program (AOSP) is succeeding AvSP’s significant achievements and stepping up to lead the ARMD research in the area of Real-Time System-Wide Safety Assurance (RSSA). As currently envisioned, ARMD sees its future, safety-related research focused in a forward looking, more comprehensive system-wide direction. ARMD’s RSSA will focus on the current and future NAS, towards a gate-to-gate trajectory-based system capability that satisfies a full vision for NextGen and beyond. The ultimate vision for RSSA would enable the delivery of a progression of capabilities that accelerate the detection, prognosis and resolution of system-wide threats. Proposals under this sub-topic are sought, but not limited to, the following areas:

- Identify and characterize (causation, consequence, criticality) safety threats and anomalies that could and should be monitored for by an RSSA system. For each threat/anomaly, identify triggers, precursors, and data needed to analyze/determine if a trigger or precursor has occurred.
- Develop and demonstrate data mining tools and techniques to detect and identify anomalies and precursors to safety threats system-wide.
- Develop and demonstrate tools and techniques to assess and predict safety margins system-wide to assure airspace safety.
- Develop and demonstrate prognostic decision support tools and techniques capable of supporting real-time safety assurance.
- Develop and demonstrate HMI concepts and technologies for alerting and resolution guidance delivery/visualization/execution to ensure timely avoidance or mitigation of predicted safety threats.
- Determine optimal human-machine function allocation for handling emerging safety threats, including threats communication, prioritization, alerting, and mitigation.
- Develop and demonstrate V&V tools and techniques for assuring the safety of air traffic applications during certification and throughout their lifecycles, and techniques for supporting the real-time monitoring of safety requirements during operation.
- Develop and demonstrate products to address technologies, simulation capabilities and procedures for reducing flight risk in areas of attitude and energy aircraft state awareness.