



## NASA SBIR 2014 Phase I Solicitation

### S2 Advanced Telescope Systems

The NASA Science Missions Directorate seeks technology for cost-effective high-performance advanced space telescopes for astrophysics and Earth science. Astrophysics applications require large aperture light-weight highly reflecting mirrors, deployable large structures and innovative metrology, control of unwanted radiation for high-contrast optics, precision formation flying for synthetic aperture telescopes, and cryogenic optics to enable far infrared telescopes. A few of the new astrophysics telescopes and their subsystems will require operation at cryogenic temperatures as cold as 4 °K. This topic will consider technologies necessary to enable future telescopes and observatories collecting electromagnetic bands, ranging from UV to millimeter waves, and also include gravity waves. The subtopics will consider all technologies associated with the collection and combination of observable signals. Earth science requires modest apertures in the 2 to 4 meter size category that are cost effective. New technologies in innovative mirror materials, such as silicon, silicon carbide and nanolaminates, innovative structures, including nanotechnology, and wavefront sensing and control are needed to build telescopes for Earth science.

## Subtopics

### S2.01 Proximity Glare Suppression for Astronomical Coronagraphy

Lead Center: JPL

Participating Center(s): ARC, GSFC

This subtopic addresses the unique problem of imaging and spectroscopic characterization of faint astrophysical objects that are located within the obscuring glare of much brighter stellar sources. Examples include planetary systems beyond our own, the detailed inner structure of galaxies with very bright nuclei, binary star formation, and stellar evolution. Contrast ratios of one million to ten billion over an angular spatial scale of 0.05-1.5 arcsec are typical of these objects. Achieving a very low background requires control of both scattered and diffracted light. The failure to control either amplitude or phase fluctuations in the optical train severely reduces the effectiveness of starlight cancellation schemes.

This innovative research focuses on advances in coronagraphic instruments, starlight cancellation instruments, and potential occulting technologies that operate at visible and near infrared wavelengths. The ultimate application of these instruments is to operate in space as part of a future observatory mission. Measurement techniques include imaging, photometry, spectroscopy, and polarimetry. There is interest in component development and innovative instrument design, as well as in the fabrication of subsystem devices to include, but not limited to, the following areas:

#### Starlight Suppression Technologies

- 
- Image plane hybrid metal/dielectric, and polarization apodization masks in linear and circular patterns.
  - Transmissive holographic masks for diffraction control and PSF apodization.
  - Sharp-edged, low-scatter pupil plane masks.
  - Low-scatter, low-reflectivity, sharp, flexible edges for control of scatter in starshades.
  - Systems to measure spatial optical density, phase inhomogeneity, scattering, spectral dispersion, thermal variations, and to otherwise estimate the accuracy of high-dynamic range apodizing masks.
  - Pupil remapping technologies to achieve beam apodization.
  - Techniques to characterize highly aspheric optics.
  - Methods to distinguish the coherent and incoherent scatter in a broad band speckle field.
  - Coherent fiber bundles consisting of up to 10,000 fibers with lenslets on both input and output side, such that both spatial and temporal coherence is maintained across the fiber bundle for possible wavefront/amplitude control through the fiber bundle.

### **Wavefront Measurement and Control Technologies**

- Small stroke, high precision, deformable mirrors and associated driving electronics scalable to 10,000 or more actuators (both to further the state-of-the-art towards flight-like hardware and to explore novel concepts). Multiple deformable mirror technologies in various phases of development and processes are encouraged to ultimately improve the state-of-the-art in deformable mirror technology. Process improvements are needed to improve repeatability, yield, and performance precision of current devices.
- Instruments to perform broad-band sensing of wavefronts and distinguish amplitude and phase in the wavefront.
- Integrated mirror/actuator programmable deformable mirror.
- Multiplexers with ultra-low power dissipation for electrical connection to deformable mirrors.
- Low-order wavefront sensors for measuring wavefront instabilities to enable real-time control and post-processing of aberrations.
- Thermally and mechanically insensitive optical benches and systems.

### **Optical Coating and Measurement Technologies**

- Instruments capable of measuring polarization cross-talk and birefringence to parts per million.
- Highly reflecting, uniform, broadband coatings for large (> 1 m diameter) optics.
- Polarization-insensitive coatings for large optics.
- Methods to measure the spectral reflectivity and polarization uniformity across large optics.

### **Other**

- Methods to fabricate diffractive patterns on large optics to generate astrometric reference frames.
- Artificial star and planet point sources, with  $1e10$  dynamic range and uniform illumination of an  $f/25$  optical system, working in the visible and near infrared.
- Deformable, calibrated, collimating source to simulate the telescope front end of a coronagraphic system undergoing thermal deformations.
- Technologies for high contrast integral field spectroscopy, in particular for microlens arrays with or without accompanying mask arrays, working in the visible and NIR (0.4 - 1.8 microns), with lenslet separations in the 0.1 - 0.4 mm range, in formats of  $\sim 140 \times 140$  lenslets.

## **S2.02 Precision Deployable Optical Structures and Metrology**

Lead Center: JPL

Participating Center(s): GSFC, LaRC

Planned future NASA Missions in astrophysics, such as the Wide-Field Infrared Survey Telescope (WFIRST) and the New Worlds Technology Development Program (coronagraph, external occulter and interferometer technologies) will push the state of the art in current optomechanical technologies. Mission concepts for New

---

Worlds science would require 10 - 30 m class, cost-effective telescope observatories that are diffraction limited at wavelengths from the visible to the far IR, and operate at temperatures from 4 - 300 K. In addition, ground based telescopes such as the Cerro Chajnantor Atacama Telescope (CCAT) requires similar technology development.

The desired areal density is 1 - 10 kg/m<sup>2</sup> with a packaging efficiency of 3-10 deployed/stowed diameter. Static and dynamic wavefront error tolerances to thermal and dynamic perturbations may be achieved through passive means (e.g., via a high stiffness system, passive thermal control, jitter isolation or damping) or through active opto-mechanical control. Large deployable multi-layer structures in support of sunshades for passive thermal control and 20m to 50m class planet finding external occulter are also relevant technologies. Potential architecture implementations must package into an existing launch volume, deploy and be self-aligning to the micron level. The target space environment is expected to be the Earth-Sun L2.

This subtopic solicits proposals to develop enabling, cost effective component and subsystem technology for deploying large aperture telescopes with low cost. Research areas of interest include:

- Precision deployable structures and metrology for optical telescopes (e.g., innovative active or passive deployable primary or secondary support structures).
- Architectures, packaging and deployment designs for large sunshields and external occulter.

In particular, important subsystem considerations may include:

- Innovative concepts for packaging fully integrated subsystems (e.g., power distribution, sensing, and control components).
- Mechanical, inflatable, or other precision deployable technologies.
- Thermally-stable materials (CTE < 1ppm) for deployable structures.
- Innovative systems, which minimize complexity, mass, power and cost.
- Innovative testing and verification methodologies.

The goal for this effort is to mature technologies that can be used to fabricate 16 m class or greater, lightweight, ambient or cryogenic flight-qualified observatory systems. Proposals to fabricate demonstration components and subsystems with direct scalability to flight systems through validated models will be given preference. The target launch volume and expected disturbances, along with the estimate of system performance, should be included in the discussion. Proposals with system solutions for large sunshields and external occulter will also be accepted. A successful proposal shows a path toward a Phase II delivery of demonstration hardware scalable to 5 meter diameter for ground test characterization.

Before embarking on the design and fabrication of complex space-based deployable telescopes, additional risk reduction in operating an actively controlled telescope in orbit is desired. To be cost effective, deployable apertures that conform to a cubesat (up to 3-U) or ESPA format are desired. Consequently, deployment hinge and latching concepts, buildable for these missions and scaleable to larger systems are desired. Such a system should allow <25 micron deployment repeatability and sub-micron stability for both thermal and mechanical on-orbit disturbances. A successful proposal would deliver a full-scale cubesat or ESPA ring compatible deployable aperture with mock optical elements.

Proposals should show an understanding of one or more relevant science needs, and present a feasible plan to fully develop the relevant subsystem technologies and to transition into future NASA program(s).

### **S2.03 Advanced Optical Systems**

**Lead Center: MSFC**

**Participating Center(s): GSFC, JPL**

his subtopic solicits solutions in the following areas:

- 
- Optical Components, Coatings and Systems for potential x-ray missions.
  - Optical Components, Coatings and Systems for potential UV/Optical missions.

Proposals should show an understanding of one or more relevant science needs, and present a feasible plan to fully develop a technology and infuse it into a NASA program.

The primary emphasis of this subtopic is to mature technologies needed to manufacture, test or operate complete mirror systems or telescope assemblies. Section 3 contains a detailed discussion on specific technologies which need developing for each area.

The 2010 National Academy Astro2010 Decadal Report specifically identifies optical components and coatings as key technologies needed to enable several different future missions, including:

- Light-weight x-ray imaging mirrors for future large advanced x-ray observatories.
- Large aperture, light-weight mirrors for future UV/Optical telescopes.
- Broadband high reflectance coatings for future UV/Optical telescopes.

The 2012 National Academy report "NASA Space Technology Roadmaps and Priorities" states that one of the top technical challenges in which NASA should invest over the next five years is developing a new generation of larger effective aperture, lower-cost astronomical telescopes that enable discovery of habitable planets, facilitate advances in solar physics, and enable the study of faint structures around bright objects. To enable this capability requires low-cost, ultra-stable, large-aperture, normal and grazing incidence mirrors with low mass-to-collecting area ratios. To enable these new astronomical telescopes, the report identifies three specific optical systems technologies:

- Active align/control of grazing-incidence imaging systems to achieve < 1 arc-second angular resolution.
- Active align/control of normal-incidence imaging systems to achieve 500 nm diffraction limit (40 nm rms wavefront error, WFE) performance.
- Normal incidence 4-meter (or larger) diameter 5 nm rms WFE (300 nm system diffraction limit) mirrors.

Finally, impacting potential space telescopes, NASA is developing a heavy lift space launch system (SLS). An SLS with an 8 to 10 meter fairing and 80 to 100 mt capacity to LEO would enable extremely large space telescopes. Potential systems include 12 to 30 meter class segmented primary mirrors for UV/optical or infrared wavelengths and 8 to 16 meter class segmented x-ray telescope mirrors. These potential future space telescopes have very specific mirror technology needs. UV/optical telescopes (such as ATLAST-9 or ATLAST-16) require 1 to 3 meter class mirrors with < 5 nm rms surface figures. IR telescopes (such as SAFIR/CALISTO) require 2 to 3 to 8 meter class mirrors with cryo-deformations < 100 nm rms. X-ray telescopes (such as GenX) require 1 to 2 meter long grazing incidence segments with angular resolution < 0.5 arc-sec and surface micro-roughness < 0.5-nm rms.

Technical Challenges:

In all cases, the most important metric for an advanced optical system (after performance) is affordability or areal cost (cost per square meter of collecting aperture). Currently both x-ray and normal incidence space mirrors cost \$4 million to \$6 million per square meter of optical surface area. This research effort seeks a cost reduction for precision optical components by 5 to 50 times, to less than \$1M to \$100K/m<sup>2</sup>.

Successful proposals shall provide a scale-up roadmap (including processing and infrastructure issues) for full scale space qualified flight optics systems. Material behavior, process control, active and/or passive optical performance, and mounting/deploying issues should be resolved and demonstrated.

### **Optical Components, Coatings and Systems for Potential X-ray Missions**

Potential x-ray missions require:

- X-ray imaging telescopes with <1 arc-sec angular resolution and > 1 to 5 m<sup>2</sup> collecting area.
- Multilayer high-reflectance coatings for hard x-ray mirrors (similar to NuSTAR).

- 
- X-ray transmission and/or reflection gratings.

Regarding x-ray telescope, multiple technologies are needed to enable < 1 arc-sec x-ray observatories. These include, but are not limited to: new materials such as silicon carbide, porous silicon, beryllium; improved techniques to manufacture (such as direct precision machining, rapid optical fabrication, slumping or replication technologies) 0.3 to 2 meter diameter mirror shells or segments; improved metrology, performance prediction and testing techniques; active control of mirror shape; new structures for holding and actively aligning of mirrors in a telescope assembly.

For example, the Wide-Field X-Ray Telescope (WFXT) requires a 6 meter focal length x-ray mirror with 1 arc-sec resolution and 1 m<sup>2</sup> of collecting area. One implementation of this mirror has 71 concentric full shell hyperbola/parabola pairs whose diameters range from 0.3 to 1.0 meter and whose length is 150 to 240 mm (this length is split between the H/P pair). Total mass for the integrated mirror system (shells and structure) is < 1000 kg. For individual mirror shells, axial slope errors should be ~ 1 arc-sec rms (~100 nm rms figure error for 20 mm spatial frequencies) and surface finish should be < 0.5 nm rms.

Additionally, potential Heliophysics missions require a grazing incidence telescope with an effective collecting area of ~3 cm<sup>2</sup> for 0.1 to 4 nm wavelengths, 4 meter effective focal length, 0.8 degree angle of incidence and surface roughness of 0.2 nm rms.

Regarding x-ray coatings, future x-ray missions require multilayer depth gradient coatings with high broadband reflectivity for 5 to 80 keV energy photons.

Regarding improved metrology and performance prediction, technology is needed to fully characterize x-ray mirrors (and mandrels) and predict their angular resolution performance. Potential solutions include (but are not limited to): both sub-aperture stitching (in the lateral direction) to acquire data over the entire optical surface, and merging/interpolating data with different spatial frequency domains. This can be done using different surface measuring instruments with different fields of view and resolutions.

Successful proposals will demonstrate an ability to manufacture, test and control a prototype 0.25 to 0.5 meter diameter x-ray mirror assembly; or, to coat a 0.25 to 0.5 meter class representative optical component; or, to characterize and performance predict a 0.5 to 1.0 meter class x-ray mirror or mandrel. An ideal Phase I project would deliver a sub-scale component such as a 0.25 meter x-ray precision mirror; or demonstrate a prototype metrology system capable of characterizing the optical surface morphology of an x-ray component and predicting its angular performance. An ideal Phase II project would further advance the technology to produce a space-qualifiable 0.5 meter mirror, with a TRL in the 4 to 5 range; or deliver a metrology system capable of characterizing 0.5 to 1.0 meter class x-ray mirrors (or mandrels) and predicting their angular resolution performance. Both Phase I and Phase II deliverables would be accompanied by all necessary documentation, including the optical performance assessment and all data on processing and properties of its substrate materials. The Phase II would also include a mechanical and thermal stability analysis.

## **Optical Components, Coatings and Systems for Potential UV/Optical Missions**

Potential UV/Optical missions require:

- Large aperture, light-weight mirrors.
- Broadband high reflectance coatings.

Regarding large aperture mirrors, future UVOIR missions require 4 to 8 or 16 meter monolithic or segmented primary mirrors with < 10 nm rms surface figures. Mirror areal density depends upon available launch vehicle capacities to Sun-Earth L2 (i.e., 15 kg/m<sup>2</sup> for a 5 m fairing EELV vs. 60 kg/m<sup>2</sup> for a 10 m fairing SLS).

Regarding broadband reflectance coating, future UVOIR missions require coatings with broadband reflectivity > 60% and uniform polarization from 90 nm to 2500 nm which can be deposited onto a 2 to 4 to 8 meter mirror substrate. Additionally, the coatings need to have > 90% reflectivity from 450 nm to 2500 nm. Future EUV missions require coatings with reflectivity > 90% from 6 nm to 200 nm which can be deposited onto mirror substrates as

---

large as 2.4 meters in diameter.

Successful proposals will demonstrate an ability to manufacture, test and control ultra-low-cost precision 0.25 to 0.5 meter optical systems; or to coat a 0.25 to 0.5 meter representative optical component. Potential solutions include, but are not limited to, new mirror materials such as silicon carbide, nanolaminates or carbon-fiber reinforced polymer; new fabrication processes such as direct precision machining, rapid optical fabrication, roller embossing at optical tolerances, slumping or replication technologies to manufacture 1 to 2 meter (or larger) precision quality mirrors or lens segments. Solutions include reflective, transmissive, diffractive or high order diffractive blazed lens optical components for assembly of large (16 to 32 meter) optical quality primary elements.

Potential solutions to improve UV reflective coatings include, but are not limited to, investigations of new coating materials with promising UV performance; new deposition processes; and examination of handling processes, contamination control, and safety procedures related to depositing coatings, storing coated optics, and integrating coated optics into flight hardware. An ability to demonstrate optical performance on 2 to 3 meter class optical surfaces is important.

An ideal Phase I deliverable would be a precision mirror of at least 0.25 meters; or a coated mirror of at least 0.25 meters. An ideal Phase II project would further advance the technology to produce a space-qualifiable mirror greater than 0.5 meters, with a TRL in the 4 to 5 range. Both Phase I and Phase II deliverables would be accompanied by all necessary documentation, including the optical performance assessment and all data on processing and properties of its substrate materials. The Phase II would also include a mechanical and thermal stability analysis.

## **S2.04 Optics Manufacturing and Metrology for Telescope Optical Surfaces**

**Lead Center: GSFC**

**Participating Center(s): JPL, MSFC**

This subtopic focuses primarily on manufacturing and metrology of optical surfaces, especially for very small or very large and/or thin optics. Missions of interest include:

- WFIRST concepts (<http://wfirst.gsfc.nasa.gov/>).
- NGXO (<http://ixo.gsfc.nasa.gov/>).
- SGO (<http://lisa.gsfc.nasa.gov/>).

Optical systems currently being researched for these missions are large area aspheres, requiring accurate figuring and polishing across six orders of magnitude in period. Technologies are sought that will enhance the figure quality of optics in any range as long as the process does not introduce artifacts in other ranges. Also, novel metrological solutions that can measure figure errors over a large fraction of the PSD range are desired, especially techniques and instrumentation that can perform measurements while the optic is mounted to the figuring/polishing machine. Large lightweight monolithic metallic aspheres manufactured using innovative mirror substrate materials that can be assembled and welded together from smaller segments are sought. Also of interest is analytical software to process, fit, and model large optics surface metrology data with the goals to characterize surface morphology over spatial frequency bandwidths determined by the desired angular resolution performance; to provide stitched metrology capabilities obtained with different surface measuring instruments with different fields of view and resolution; to provide a data analysis tool for defining the optical surface fabrication tolerances based on the desired x-ray optics angular resolution performance; to allow forecasting of the surface morphological properties of optics.

By the end of a Phase II program, technologies must be developed to the point where the technique or instrument can dovetail into an existing optics manufacturing facility producing optics at the R&D stage. Metrology instruments should have 10 nm or better surface height resolution and span at least 3 orders of magnitude in lateral spatial frequency. Examples of technologies and instruments of interest include:

- Innovative metal mirror substrate materials or manufacturing methods such as welding component

---

segments into one monolith that produce thin mirror substrates that are stiffer and/or lighter than existing materials or methods.

- Interferometric nulling optics for very shallow conical optics used in x-ray telescopes.
- Segmented systems commonly span 60 degrees in azimuth and 200 mm axial length and cone angles vary from 0.1 to 1 degree.
- Low stress metrology mounts that can hold optics without introducing mounting distortion.
- Low normal force figuring/polishing systems operating in the 1 mm to 50 mm period range with minimal impact at significantly smaller and larger period ranges.
- In-situ metrology systems that can measure optics and provide feedback to figuring/polishing instruments without removing the part from the spindle.
- Innovative mirror substrate materials or manufacturing methods that produce thin mirror substrates that are stiffer and/or lighter than existing materials or methods.
- Extreme aspheric and/or anamorphic optics for pupil intensity amplitude apodization.
- Metrology systems useful for measuring large optics with high precision.
- Innovative method of bonding extremely lightweight (less than 1 kg/m<sup>2</sup> areal density) and thin (less than 1 mm) mirrors to a housing structure, preserving both alignment and figure.
- Innovative method of improving the figure of extremely lightweight and thin mirrors without polishing, such as using the coating stress.
- Manufacturing technology and wavefront sensing and control as applied to coronagraph applications for exoplanet detection.

Proposals should show an understanding of one or more relevant science needs, and present a feasible plan to fully develop a technology and infuse it into a NASA program.